MIRABAUD
ASSET MANAGEMENT

2023

TRANSPARENCY CODE

Mirabaud – Global Climate Bond Fund

Contents

Transparency Code

This Transparency Code is based on the European Transparency Code written by AFG, FIR and EUROSIF.

Statement of commitment

Sustainable and Responsible Investing is an essential part of the strategic positioning and behaviour of Mirabaud Asset Management. We have formalised our SRI strategy in 2010 by signing the UN PRI and welcome the European SRI Transparency Code.

This is our third statement of commitment and covers the period January 2022 to April 2023. Our full response to the European SRI Transparency Code can be accessed below and is available in the annual report of the funds and on our website.

Transparency Code compliance

Mirabaud Asset Management is committed to transparency, and we believe that we are as transparent as possible given the regulatory and competitive environments that exist in the countries in which we operate. Mirabaud Asset Management meets the full recommendations of the European SRI Transparency Code.

The Mirabaud – Global Climate Bond Fund abides by all the Code's recommendations.

1. List of funds covered by this transparency code

Mirabaud – Global Climate Bond Fund

Dominant & Complementary SRI Strategies	Asset Class	Exclusions	AUM at 31/03/2023	Labels	Links to documents
Exclusion Best in class ESG Integration Engagement	Corporate Bonds	Controversial weapons Thermal coal Tobacco Adult entertainment	EUR 35m	French SRI label	KIID Prospectus Factsheet SRI report SRI policy Stewardship Report

2. General information about the asset management firm

2.1. Name of the fund management company that manages the applicant fund(s)

The Management Company, Mirabaud Asset Management (Europe) SA, has appointed Mirabaud Asset Management Ltd. as Investment Manager for the Sub-Funds.

Mirabaud Asset Management (Europe) S.A
6B Rue du Fort Niedergruenewald
L-2226 Luxembourg

Mirabaud Asset Management Ltd., whose registered office is in London, United Kingdom, was founded on 19 March 1982. Its main activities are asset management and advisory services.

Mirabaud Asset Management Ltd
5th Floor, 10 Bressenden Place, London
SW1E 5DH, United Kingdom

2.2. What are the company's track record and principles when it comes to integrating SRI into its processes?

Since it was founded in 1819, Mirabaud has respected the concept of sustainability in all of its activities and has never engaged in speculative trading or investing for its own account, or on behalf of the firm. Consequently, Mirabaud Group combines growth and sustainability.

Mirabaud Asset Management strives to offer its clients quality products taking into account aspects of sustainable and responsible investing and has been taking the necessary steps to formalise ESG investment processes across its product range.

Moreover, as a founding member of the Swiss Sustainable Finance and Sustainable Finance Geneva associations, Mirabaud Group contributes to making Switzerland and Geneva a world-renowned sustainable finance hub and innovator.

2.3. How does the company formalise its sustainable investment process?

Sustainable and Responsible Investment (SRI) is in our DNA and is underpinned by the following values and commitments that we have upheld over the last 200 years: Independence, conviction, responsibility, and passion. By signing up to PRI (Principles for Responsible Investment) in 2010, we formalised our sustainable investment strategy, which we have steadily strengthened ever since.

Furthermore, our ESG-related policies can be found on our website as follows:

- Sustainable & Responsible Investment Policy
- Voting Policy
- Engagement Policy
- Exclusion Policy

2.4. How are ESG risks and opportunities – including those linked to climate change – understood/taken into account by company?

ESG criteria are an integral and pivotal part of our investment decision-making at Mirabaud Asset Management. Analysis of ESG criteria brings further insights to our financial analysis. It completes our view of a company's risks and opportunities. We have no doubt that properly factoring in ESG criteria into our investment procedures results in improving our portfolio's risk/reward profile.

Calculating our portfolios' carbon footprints, in accordance with Article 29 of the French law on Energy and Climate change, is the first step in our climate analysis strategy. Being a proud signatory of the Carbon Disclosure Project (CDP), Mirabaud AM supports the Task Force for Climate-Related Financial Disclosure (TCFD) and works with the Transition Pathway Initiative, and "Climate Action 100+".

In addition, as signatory of the Net Zero Asset Managers initiative (NZAM) we are committed to support the goal of net zero greenhouse gas emissions by 2050 or sooner and set interim targets consistent with a fair share of the 50% global reduction in greenhouse gas emissions by 2030.

2.5. How many employees are directly involved in the company's sustainable investment activity?

Our in-house SRI team ensures and monitors the integration of ESG and climate criteria within investment processes and decisions. Portfolio management teams work in close collaboration with the SRI team and are empowered and held accountable for ESG analysis, monitoring and engagement.

Our 4 dedicated SRI professionals sit on the investment floor and are in constant interaction with our investment professionals. The team drives extra-financial research across investment teams, which feed into the underlying processes of our investment strategies. All our fund managers and analysts are involved in embedding ESG criteria within the investment processes of our Long-Only UCITS range and they rely on the expertise and extra-financial research of the SRI team to fine-tune their non-financial analysis supporting their buy/sell decisions, as a complement to traditional analysis.

Our Risk Management department performs pre and post trades monitoring daily. Stocks to be excluded are hard coded in our portfolio management system to prevent any breaches. A risk committee ensures that SRI fund alerts and breaches are monitored and reported.

The Performance and Reporting, SRI and portfolio management teams collaborate to produce monthly SRI reports. In addition, the risk department carries out a quarterly review of fund management procedures and ESG analysis methodologies applied in relation to the funds.

With a view to strengthen and refine our SRI practices, dedicated training sessions are being introduced for various staff including Marketing & PR and Sales & Business Development teams. The purpose of this training is to ensure all staff is familiar with the various aspects of responsible investment.

2.6. Is the company involved in any RI initiatives?

Initiatives & Principles	ESG Focus	Region	Year Joined
Principles for Responsible Investment (PRI)	ESG	Global	2010
Swiss Sustainable Finance (SSF)	ESG	CH	2014
Sustainable Finance Geneva (SFG)	ESG	CH	2010
Net-Zero Asset Managers Initiative (NZAM)	E	Global	2022
Carbon Disclosure Project (CDP)	E	Global	2017
CDP Non-disclosure campaign	E	Global	2018
CDP SBTi campaign	E	Global	2021
Climate Action 100+	E	Global	2018
Task Force on Climate-related Financial Disclosures (TCFD)	E	Global	2018
Transition Pathway Initiative (TPI)	E	Global	2020
Interpeace	S	Global	2011
Geneva Peace Talks	S	CH	2015
Healthy Market Initiative	S	UK	2022

2.7. What is the total number of SRI assets under the company's management?

Mirabaud Asset Management is a UN PRI signatory. Consequently, all our Long Only UCITS range is eligible to integrate ESG criteria. Furthermore, exclusion, engagement as well as proxy voting policies common to all Mirabaud Asset Management's products range have been deployed and are used across all asset classes to reflect our commitment to responsibility and sustainability. All our fund managers take full ownership of these common approaches.

Mirabaud Asset Management is also deploying continuous efforts to strengthen the integration of ESG criteria from early stages of investment processes to portfolio construction, using quantitative and qualitative research across most of our assets.

We ensure the entire fund range implements SRI criteria and constantly adheres to requirements. Its formal implementation is currently underway.

3. General information regarding SRI funds mentioned in this transparency code

The objective of the fund is to deliver an attractive above index return across a business cycle by providing a globally diversified bond fund with an investment focus on issuers engaged in energy transition and climate change mitigation.

The fund has an explicit sustainable/environmental objective with an aim to reduce carbon emissions in line with the 2015 Paris Agreement.

The fund explicitly targets climate change mitigation and emissions reduction in line with the 2015 Paris Agreement through:

- Investments in green bonds, where the proceeds will be applied to finance or re-finance green projects. The eligible Green Project categories, include, but are not limited to: renewable energy, energy efficiency, green buildings, clean transportation and circular economy.
- Investment in issuers with clear emissions reduction targets, aligned with the goals of the 2015 Paris Agreement. These issuers can be high emitters of greenhouse gases today but are part of the energy transition, either through the impact of their activity on the reduction of emissions, or through their commitments to reduce these.

The fund invests across fixed income securities and sectors and is designed to provide investors with diversified exposure to global credit and explicitly targeting companies with strong commitments to emission reductions and positive environmental impacts.

The fund also integrates other environmental, social and governance (ESG) factors into the fundamental credit analysis. Investments reflecting ESG considerations will be achieved through the use of strategies developed by the SRI (sustainable and responsible investment) team and the Investment Manager's team of the fund, based on a quantitative and qualitative approach.

Investment universe. The portfolio will consist primarily of debt instruments including investment grade and high yield instruments, asset backed securities and convertible bonds and will not be limited to a particular geographical region (including emerging countries).

The fund may invest up to:

- 10% of its net assets in units and/or shares of UCITS and/or Other UCIs and/or UCIs, including money market funds;
- 10% in UCITS eligible Islamic fixed-income securities ("Sukuk") issued by government, government-related and corporate entities located in developed and developing countries (but not in distressed Sukuk);
- 10% contingent convertible bonds ("Cocos").

The fund may invest up to 100% of its net assets in bonds or treasury bills issued by a government of any OECD country or supra national organisations, in Money Market Instruments and in units and/or shares of UCITS and/or Other UCIs investing in Money Market Instruments or in cash if the Investment Manager believes that this is in the best interest of shareholders; however, such investments may only be made on a temporary basis and in response to exceptional circumstances.

3.1. What is (are) the fund(s) aiming to achieve by integrating ESG factors?

ESG criteria are an integral and pivotal part of our investment decision-making process. The analysis of ESG criteria complements the traditional financial analyses and adds depth and insight to the investment team's understanding and knowledge of an issuer. It completes our view of a company's risks and opportunities. We are convinced that a pragmatic integrative approach of ESG factors within investment processes can only result in improving the long-term risk-return profile of a portfolio.

The adoption of such an approach allows us to achieve the following objectives:

- Promote industry best practice among issuers through a robust engagement policy.
- Enhance the portfolio's risk/reward profile, by identifying non-financial risks, consequently reducing short-term risk and enhancing long-term value creation.

We apply all three ESG criteria, considering Environmental, Social and Governance factors in our assessment. Our ESG analysis incorporates regional and sector-specific considerations to address material ESG issues, which may vary across industries and regions but also responsibility and sustainability issues. This includes but is not limited to: Environmental factors such as GHG emissions, waste and water management, biodiversity, renewable energy, Paris Alignment and Pathways; Social factors like occupational health and safety, supply chain management, diversity, gender pay gap, human rights, and Governance factors such as business ethics, bribery, corruption, transparency, reporting, executive compensation, and board structure diversity and independence.

3.2. What internal or external resources are used for ESG evaluation of the issuers who make up the investment universe of the fund(s)?

The analytics pertaining to the Mirabaud – Global Climate Bond Fund are carried out by both the SRI team (four dedicated professionals) and the dedicated Fixed Income team (seven dedicated professionals). The SRI team is considered as an extension of the Fixed Income team in the context of ESG integration in the investment process as well as of the engagement policy in place.

Our staff draw on the following information for their analyses:

- External extra-financial data providers:
    - Sustainalytics for our ESG and controversies analysis
    - Trucost for Climate/Environment data
    - Science-Based Targets Initiative
    - Transition Pathway Initiative
    - CDP (formerly known as Carbon Disclosure Project)
- Company publications (corporate reports and presentations)
- Specialised broker and sell-side publications
- Providers of financial and extra-financial data (Bloomberg)
- Meetings with company management.

3.3. Which ESG criteria are taken into account by the fund(s)?

All three ESG criteria are applied. We factor in Environmental, Governance and Social criteria when assessing companies from an extra financial point of view. When conducting our ESG analysis we consider the regional and sectorial specificities of a company. This allows us to account for the material ESG issues a company is exposed to as those will vary from one industry or region to another, including but limited to:

- **Environment:** GHG emissions, waste management, water management, biodiversity & land use change, renewables and circular economy
- **Social:** Occupational health & safety, supply chain management, DEI (diversity, equality & inclusion), employee attraction & retention, data privacy & security, human rights and community relations
- **Governance:** Business ethics, bribery & corruption, transparency & reporting, remuneration, board structure (diversity & independence)

3.4. What principles and criteria linked to climate change are taken into account in the fund(s)?

Mirabaud Asset Management uses S&P Global Trucost data to assess the carbon footprint of current and potential investments, which is then embedded within the investment decision process of the relevant fund. S&P Global Trucost provides analysis and risk assessments and measurements with regards to climate change and other environmental factors. Such data enables us to assess portfolio exposure to transition risks, namely those arising from reduction of greenhouse gas emissions and the biodiversity impacts of the financed companies.

3.5. What is the ESG analysis and evaluation methodology of the fund manager/fund management company (how is the investment universe built, what rating scale is used etc.)?

Mirabaud Asset Management philosophy is guided by strong sustainable development guidelines, a long-term view, and a responsible mind-set. ESG is integrated into the Mirabaud – Global Climate Bond fund through exclusions, integration, and active ownership strategies.

3.5.1. Minimum safeguards: exclusions and ESG filter

The Mirabaud – Global Climate Bond fund applies at the very early stage of the investment process an exclusion filter on the following controversial activities relative to the corporate debt:

- Controversial weapons[1]
- Tobacco production and related products[2]
- Adult entertainment[2]
- Thermal coal mining[2]

This filter also excludes companies involved in recent major controversies (e.g., our data provider's category 5 controversy). Controversies assess companies' involvement in incidents with negative ESG implications. The Controversy Rating reflects a company's level of involvement in and management of these issues. The ratings are on a scale of five levels, from Low (Level 1) to Severe (Level 5).

[1] Exclusion of any company engaged in these activities.

[2] Exclusion of companies generating 5% or more of their revenues from these activities.

On top of this, Mirabaud Asset Management considers it to be against its responsible investing policy to invest in sovereign bonds of countries with serious breaches of human rights and treaties, i.e.:

- Afghanistan
- Burundi
- Central African Republic
- Eritrea
- Iran
- Mauritania
- Myanmar
- North Korea
- South Sudan
- Somalia
- Syria
- Yemen
- Zimbabwe

In addition, Mirabaud Asset Management will keep track on any relevant international sanctions and follow any new investment restrictions as required.

Issuers and bonds not fulfilling the conditions, are systematically excluded from the investment universe.

3.5.2. Green and transition bonds selection and advanced ESG integration

Following the application of the group wide exclusion filter, we then apply a best-in-universe approach and set minimum ESG risk rating and ESG score thresholds, in order to identify companies that are worst-performers from an ESG perspective. Our aim is to strengthen the protective qualities and minimise downside risk of the portfolio by excluding the lowest scoring issuers and/or bonds.

The fund invests across fixed income securities and sectors and is designed to provide investors with diversified exposure to global credit and explicitly targeting companies with strong commitments to emission reductions and positive environmental impacts:

- **Green bonds**: Bond instrument that explicitly target a positive environmental impact and enable the transition to low carbon economy. Use of proceeds will be applied to finance or re-finance green projects (as defined by the Green Bonds Principles). Green bonds make up more than half the portfolio. The eligible Green Project categories include, but are not limited to: renewable energy, energy efficiency, green buildings, and clean transportation.
- **Transition Bonds**: Debt of corporate issuers with clear emissions reduction targets, aligned with the goals of the 2015 Paris Agreement. These issuers can be high emitters of greenhouse gases today but are part of the energy transition, either through the impact of their activity on the reduction of emissions, or through their commitments to reduce these.

The fund applies a dual-class approach by including green bonds and transition bonds issued by companies operating in carbon intensive sectors (Utilities, Oil & Gas, Industrials, Materials and Transportation) with an ambition to decarbonise and commitments to reduce their carbon emissions and environmental impact over time. Fixed income portfolio managers and the SRI team engage in dialogue with these high emitters to understand how climate change is integrated in corporate business strategies and encourage robust climate management.
The fund might also invest in other sectors, that are by nature less carbon intensive, including Communications, Information Technology, Financials, Consumer Staples and Consumer Discretionary,

Healthcare and Real Estate

Green bonds passing the minimum safeguards are eligible for inclusion in the investment universe, while transition bonds' issuers are assessed based on their emissions pathways and alignment with the Paris Agreement. The screening is undertaken by compounding datasets from our ESG and climate service providers:

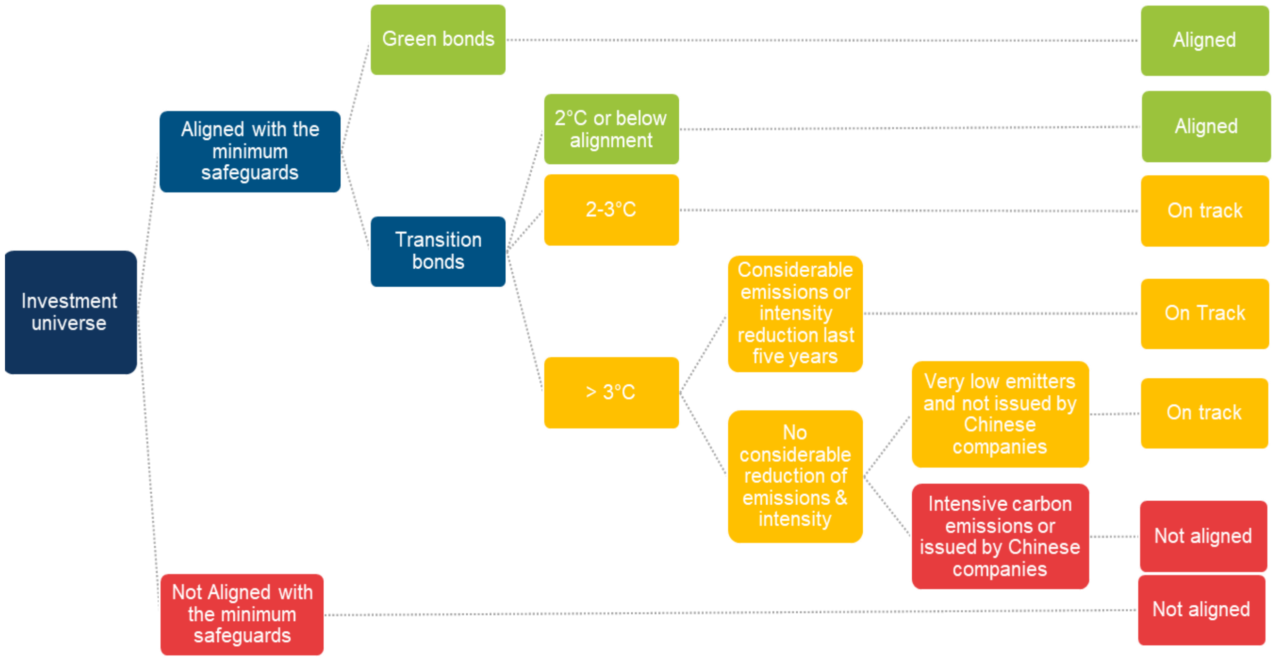
- Trucost 2 Degrees Alignment dataset
- Science-Based Targets initiative dataset
- Green Bonds issuance over a one year period

Based on ESG and climate data from data providers, internal research and industry organisations, we assign an overall temperature alignment status: Aligned, On Track or Not Aligned.

- **Aligned:** Companies with aligned pathways (Trucost) or verified climate targets (by SBTi or TPI) make up the bulk of the portfolio
- **On Track:** Companies that are not aligned but whose emissions pathways are between 2 and 3°C, or above 3°C, but with considerable emissions or intensity reduction over the last five years. In this bucket, we also include transition bond issuers operating in less carbon sectors, including Communications, Information Technology, Financials, Consumer Staples and Consumer Discretionary, Healthcare and Real Estate. These can be included in the portfolio with a systematic engagement programme as highlighted in section 3.5.3.
- **Not Aligned:** Mainly companies whose emissions pathways are not aligned, do not show reduction efforts and are carbon intensive. These cannot be invested in.

By using temperature alignment, historical carbon emissions data, product involvement, internal research and ESG data, the team assigns a colour-coded status to each issuer, as per the chart below. Issuers or bonds that are 'Not Aligned' (represent 20% of the universe) are therefore excluded from the investment universe while the ones 'On Track' are subject to an in-depth internal analysis and a strong engagement programme.

This methodology explicitly integrates ESG and climate criteria with traditional financial metrics in the investment process with the aim to strengthen the company's analysis and provide additional fundamental insight, which contributes to effective risk management. The figure below summarises the *Eligibility Process* applied by the Mirabaud-Global Climate Bond fund:



Source: Mirabaud Asset Management, Sustainalytics, Trucost, Science Based Targets initiative, Transition Pathway Initiative.

3.5.3. In-depth analysis & engagement

The SRI and fixed income teams have launched a dedicated engagement process, to track and monitor the 2˚C alignment of the portfolio. We use engagement as a platform to share our expectations with companies, understanding their climate change management strategies and how these can be improved.

- Engaging with companies that are “On track” to encourage further decarbonisation, climate-related disclosure and climate target-setting. Through the engagement programme, we prioritise dialogue with energy intensive companies, and seek to encourage issuers to accomplish three objectives:

    1. Set up effective climate governance on corporate boards
    2. Reduce greenhouse gas emissions, by moving towards net-zero emissions by latest 2050
    3. Enhance disclosure around climate change risks and risk mitigation in line with the TCFD

- Dialogue with aligned companies and green bond issuers to monitor alignment. The dedicated engagement programme allows us to conduct regular dialogue with green bond issuers, as well as aligned companies that operate in low-carbon sectors. These practices ensure that we monitor the fund’s temperature levels and alignment over time.

3.6. How often is the ESG evaluation of the issuers reviewed? How are any controversies managed?

We review ESG criteria of the issuers held in our portfolio on a regular basis. If a controversies alert is received, we review the issuer’s ESG criteria immediately and take the necessary actions to assess the company given the new information.

4. Investment Process

4.1. How are ESG research results factored into portfolio construction?

ESG research results are systematically factored into portfolio construction of the Mirabaud – Global Climate Bond fund and we constantly ensure that at least 90% of the AuM held in each SRI fund mentioned in this transparency code are subject to ESG reviewed investments provided that non-rated (or rating pending) investments are not subject to 'controversies' considered to be serious by our SRI team. Similarly, investments pertaining to the exclusion list cannot be included in the portfolio.

In addition, the Mirabaud – Global Climate Bond fund promotes environmental & climate characteristics and, given its sustainable objective, commits to a minimum proportion of 75% of sustainable investments[3] with an environmental objective in economic activities that do not qualify as environmentally sustainable under the EU taxonomy[4].

According to our proprietary framework, an investment is considered as "sustainable" if it passes all the following three filters as defined by the SFDR Article 2(17):

(a) **contributes substantially to at least one environmental or social objective:** we screen for eligible green bonds based on the Green Bond Framework and eligible transition bonds of companies aligned with the sub-2°C trajectory.

(b) **provided that such investments do not significantly harm to other environmental or social objectives**: we screen out companies involved in controversial activities (Mirabaud Asset Management exclusion policy) and major controversies, ESG laggards as well as companies in breach of specific Principal Adverse Impacts (PAI)[5] indicators.

(c) **and that the company complies with minimum safeguards (good governance):** we assess if companies are aligned with the OECD Guidelines for Multinational Enterprises and the UN Global Compact principles. We also set a minimum threshold for companies by assessing a set of social and governance indicators

An in-depth ESG analysis is carried out on individual securities to pinpoint companies that best satisfy ESG criteria on important issues. Our analysts identify various material issues for every sub-sector to best reflect how companies identify and manage their various potential ESG risks and opportunities. We also conduct an in-depth review, where priority is given to companies we initially put in the "watchlist".

[3] Sustainable investment means an investment in an economic activity that contributes to an environmental or social objective, provided that the investment does not harm any environmental or social objective and that the investee companies follow good governance practices.

[4] The EU taxonomy is a classification system laid down in Regulation (EU) 2020/852, establishing a list of environmentally sustainable activities.

[5] Principal Adverse Impacts (PAI), as per Article 4 of the SFDR Regulation, refer to a list of 14 mandatory corporate indicators that cover a broad scope of environmental and social metrics and allow to monitor the adverse impacts of the securities they invest in.

4.2. How are criteria specific to climate change integrated into portfolio construction?

Please refer to our response to question 3.4.

4.3. How are the issuers that are present in the portfolio, but not subject to ESG analysis evaluated (not including mutual funds)?

An analysis covers non-rated (or rating pending) issuers. This analysis is structured as follows:

- We identify the relevant material issues affecting the company's business model
- We assess the company's corporate governance structure
- We assess the company's involvement in controversies deemed material
- We analyse the company's publications.

The proportion of non-rated investments presented in the portfolio may not exceed 10%.

4.4. Has the ESG evaluation or investment process changed in the last 12 months?

Climate engagement remains at the heart of our approach, and we regularly liaise with energy intensive issuers to encourage companies to adopt more sustainable practices.

The Mirabaud – Global Climate Bond fund also commits to a minimum proportion of 75% sustainable investments.

4.5. Is part of the fund(s) invested in entities pursuing strong social goals/social enterprises?

No, there are no assets invested in such entities.

4.6. Does (do) the fund(s) engage in securities lending activities?

No, we do not engage in such activities.

4.7. Does (do) the fund(s) use derivative instruments?

Mirabaud's fixed income funds may use financial derivative instruments for currency, interest rate or other hedging purposes.

4.8. Does (do) the fund(s) invest in mutual funds?

While the Mirabaud – Global Climate Bond fund is entitled to do so (up to 10%), it does not invest in mutual funds.

5. ESG controls

5.1. What internal and/or external control mechanisms are in place to ensure compliance of the portfolio with the ESG rules on managing the fund(s) as defined in section 4?

Mirabaud Asset Management has a dedicated 4-person SRI team. This team is responsible for ESG research, data review, tracking portfolio compliance and introducing ESG filters. The SRI team provides valuable extra-financial inputs to the investment teams in line with the process of the product or asset class at hand.

With regards to monitoring portfolio compliance with ESG requirements, the Risk Management team carries out daily pre and post trade monitoring, as excluded investments have been hard coded within the portfolio management system in advance.

Any breach or deviation is immediately notified to fund managers for correction. Should such deviation persist and worsen, it will then be reported to executive management.

An Investment Management and Risk Committee ensures that SRI fund alerts are tracked and reported.

6. Impact measures and ESG reporting

6.1. How is the ESG quality of the fund(s) assessed?

The Fund's ESG quality and robustness is reviewed monthly by the SRI team. The Fund's temperature and alignment is also calculated based on S&P Global Trucost data and is updated on a monthly basis and compared to the 2°C scenario. This assessment is reported in the fund's monthly factsheet as well as in the dedicated quarterly SRI report.

6.2. What ESG indicators are used by the fund(s)?

Impact indicators are identified to enable a measure of the Fund's extra-financial performance. The following indicators are calculated both for the portfolio and its reference universe (as reported in the SRI report):

- Mirabaud Asset Management's in-house ESG score
- Product Involvement
- Exposure to ESG controversies
- Green bonds allocation
- Contribution to energy transition (2°C Alignment)
- Portfolio temperature alignment
- Carbon intensity to measure emissions (Scope 1 and 2) and highest/lowest contributors
- Evolution of portfolio's carbon intensity vs the benchmark
- Engagement programme
- Staff freedom of association and diversity company policies
- Human rights company practices by identifying UN Global compact signatories and companies involved in human rights compliance controversies in their supply chains
- Executive management governance regarding ESG practices and an assessment of supervisory board directors' independence and diversity

Subject to data availability, we monitor Principal Adverse Impacts (PAI) indicators on sustainability factors such as climate, environment, resource use, labours, human rights and business ethics. Please refer to our statement via the following link: (mirabaud-am.com)

6.3. What communication resources are used to provide investors with information about the SRI management of the fund(s)?

Investors are notified and can access dedicated information via the Mirabaud Asset Management website:

- Prospectus Mirabaud SICAV: Prospectus
- Mirabaud – Global Climate Bond: Specific fund page

6.4. Does the fund management company publish the results of its voting and engagement policies?

Votes are systematically reported on the Mirabaud Asset Management website and can be accessed by clicking on the following link: https://www.mirabaud-am.com/fileadmin/mount_asset_management/Legal/Copyright_and_Legal/Mirabaud_AM_Proxy_Voting_Policy_EN.pdf

We also publish our engagement policy, which can be viewed at: https://www.mirabaud-am.com/en/proxy-voting

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ASSET MANAGEMENT

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ACCOUNTABLE FOR GENERATIONS

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